

# Ocean thermal energy conversion

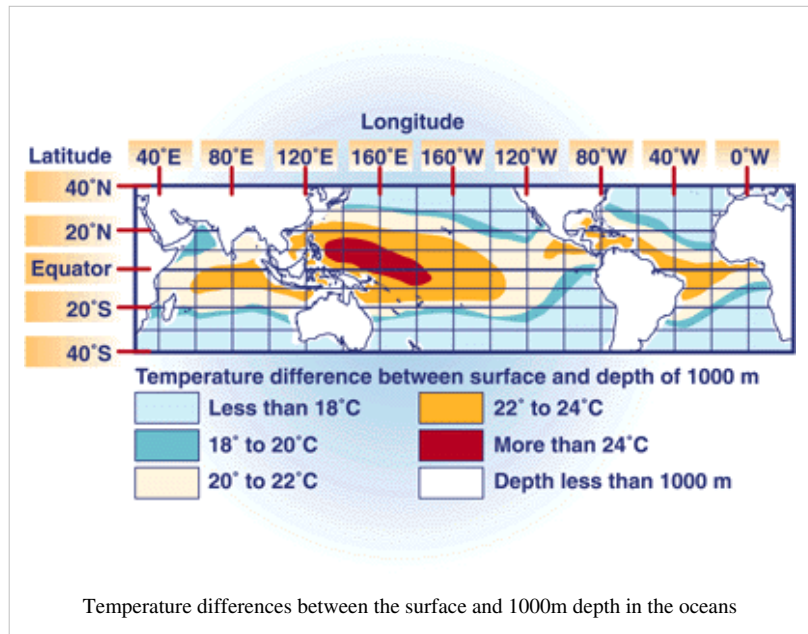
## Ocean Thermal Energy Conversion

(*OTEC*) uses the temperature difference between cooler deep and warmer shallow or surface ocean waters to run a heat engine and produce useful work, usually in the form of electricity. However, the temperature differential is small and this impacts the economic feasibility of ocean thermal energy for electricity generation.

The most commonly used heat cycle for OTEC is the Rankine cycle using a low-pressure turbine. Systems may be either closed-cycle or open-cycle. Closed-cycle engines use a working fluids that are typically thought of as refrigerants such as ammonia or R-134a. Open-cycle engines use vapour from the seawater itself as the working fluid.

OTEC can also supply quantities of cold water as a by-product. This can be used for air conditioning and refrigeration and the fertile deep ocean water can feed biological technologies. Another by-product is fresh water distilled from the sea.<sup>[1]</sup>

Demonstration plants were first constructed in the 1880s and continue to be built, but no large-scale commercial plants are in operation.

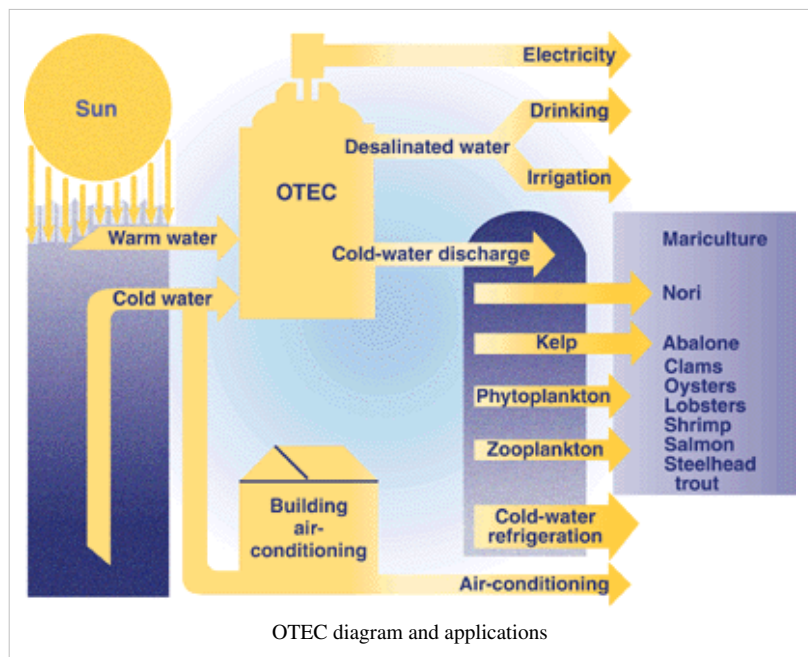


## History

Attempts to develop and refine OTEC technology started in the 1880s. In 1881, Jacques Arsene d'Arsonval, a French physicist, proposed tapping the thermal energy of the ocean. D'Arsonval's student, Georges Claude, built the first OTEC plant, in Matanzas, Cuba in 1930.<sup>[1][2]</sup> The system generated 22 kW of electricity with a low-pressure turbine.<sup>[1]</sup>

In 1935, Claude constructed a plant aboard a 10,000-ton cargo vessel moored off the coast of Brazil. Weather and waves destroyed it before it could generate net power.<sup>[1]</sup> (Net

power is the amount of power generated after subtracting power needed to run the system).



In 1956, French scientists designed a 3 MW plant for Abidjan, Côte d'Ivoire. The plant was never completed, because new finds of large amounts of cheap petroleum made it uneconomical.<sup>[1]</sup>

In 1962, J. Hilbert Anderson and James H. Anderson, Jr. focused on increasing component efficiency. They patented their new "closed cycle" design in 1967.<sup>[1]</sup>

Japan is a major contributor to the development of the technology.<sup>[1]</sup> Beginning in 1970 the Tokyo Electric Power Company successfully built and deployed a 100 kW closed-cycle OTEC plant on the island of Nauru.<sup>[1]</sup> The plant became operational on 14 October 1981, producing about 120 kW of electricity; 90 kW was used to power the plant and the remaining electricity was used to power a school and other places.<sup>[1]</sup> This set a

world record for power output from an OTEC system where the power was sent to a real power grid.<sup>[1]</sup> Currently, the Institute of Ocean Energy, Saga University, is the leader and focuses on the power cycle and many of the secondary benefits.

The 1970s saw an uptick in OTEC research and development during the post 1973 Arab-Israeli War, which caused oil prices to triple. The U.S. federal government poured \$260 million into OTEC research.<sup>[3]</sup>

In 1974, The U.S. established the Natural Energy Laboratory of Hawaii Authority at Keahole Point on the Kona coast of Hawai'i. Hawaii is the best US OTEC location, due to its warm surface water, access to very deep, very cold water, and high electricity costs. The laboratory has become a leading test facility for OTEC technology.<sup>[1]</sup> In the same year, Lockheed received a grant from the U.S. National Science Foundation to study OTEC. This eventually led to an effort by Lockheed, the US Navy, Makai Ocean Engineering, Dillingham Construction, and other firms to build the world's first and only net-power producing OTEC plant, dubbed "Mini-OTEC"<sup>[1]</sup> For three months in 1979, a small amount of electricity was generated.

India built a one-MW floating OTEC pilot plant near Tamil Nadu, and its government continues to sponsor research.<sup>[4]</sup>

In the 1990s, the Pacific International Center for High Technology Research operated a 210 kilowatt-gross power open cycle OTEC plant at Natural Energy Laboratory of Hawaii (then called NELH).<sup>[5]</sup>

In 2006, Makai Ocean Engineering was awarded a contract from the U.S. Office of Naval Research (ONR) to investigate the potential for OTEC to produce nationally-significant quantities of hydrogen in at-sea floating plants located in warm, tropical waters. Realizing the need for larger partners to actually commercialize OTEC, Makai approached Lockheed Martin to renew their previous relationship and determine if the time was ready for OTEC. And so in 2007, Lockheed Martin resumed work in OTEC and became a subcontractor to Makai to support their SBIR, which was followed by other subsequent collaborations<sup>[1]</sup>

In July 2011, Makai Ocean Engineering completed the design and construction of an OTEC Heat Exchanger Test Facility at the Natural Energy Laboratory of Hawaii. The purpose of the facility is to arrive at an optimal design for OTEC heat exchangers, increasing performance and useful life while reducing cost (heat exchangers being the #1 cost driver for an OTEC plant).<sup>[6]</sup> And in March 2013, Makai announced an award to install and operate a 100 kilowatt turbine on the OTEC Heat Exchanger Test Facility, and once again connect OTEC power to the grid.<sup>[7] [8]</sup>



View of a land based OTEC facility at Keahole Point on the Kona coast of Hawaii (United States Department of Energy)

## Thermodynamic efficiency

A heat engine gives greater efficiency when run with a large temperature difference. In the oceans the temperature difference between surface and deep water is greatest in the tropics, although still a modest 20 to 25 °C. It is therefore in the tropics that OTEC offers the greatest possibilities.<sup>[1]</sup> OTEC has the potential to offer global amounts of energy that are 10 to 100 times greater than other ocean energy options such as wave power<sup>[citation needed]</sup>. OTEC plants can operate continuously providing a base load supply for an electrical power generation system.<sup>[1]</sup>

The main technical challenge of OTEC is to generate significant amounts of power efficiently from small temperature differences. It is still considered an emerging technology. Early OTEC systems were 1 to 3 percent thermally efficient, well below the theoretical maximum 6 and 7 percent for this temperature difference.<sup>[1]</sup> Modern designs allow performance approaching the theoretical maximum Carnot efficiency and the largest built in 1999 by the USA generated 250 kW.

## Cycle types

Cold seawater is an integral part of each of the three types of OTEC systems: closed-cycle, open-cycle, and hybrid. To operate, the cold seawater must be brought to the surface. The primary approaches are active pumping and desalination. Desalinating seawater near the sea floor lowers its density, which causes it to rise to the surface.<sup>[1]</sup>

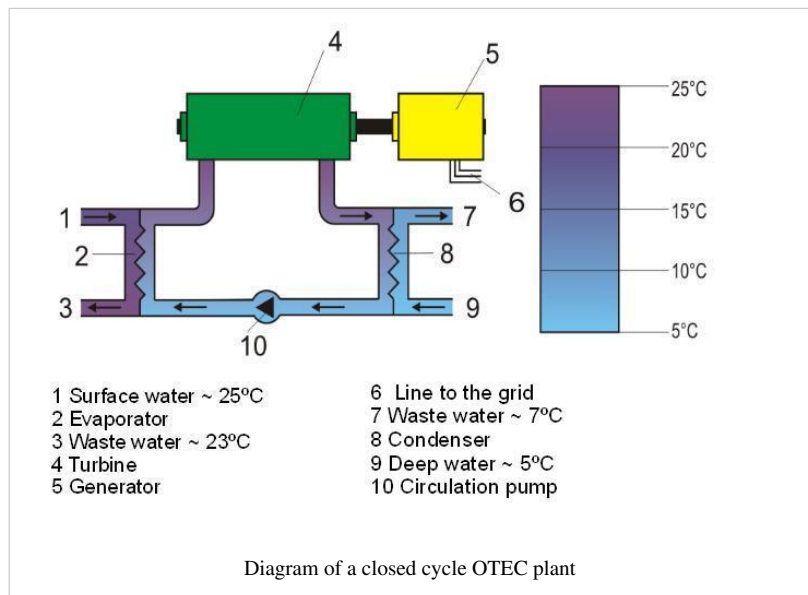
The alternative to costly pipes to bring condensing cold water to the surface is to pump vaporized low boiling point fluid into the depths to be condensed, thus reducing pumping volumes and reducing technical and environmental problems and lowering costs.<sup>[citation needed]</sup>

### Closed

Closed-cycle systems use fluid with a low boiling point, such as ammonia, to power a turbine to generate electricity. Warm surface seawater is pumped through a heat exchanger to vaporize the fluid. The expanding vapor turns the turbo-generator. Cold water, pumped through a second heat exchanger, condenses the vapor into a liquid, which is then recycled through the system.

In 1979, the Natural Energy Laboratory and several private-sector partners developed the "mini OTEC" experiment, which achieved the first

successful at-sea production of net electrical power from closed-cycle OTEC.<sup>[1]</sup> The mini OTEC vessel was moored 1.5 miles (2.4 km) off the Hawaiian coast and produced enough net electricity to illuminate the ship's light bulbs and run its computers and television.



## Open

Open-cycle OTEC uses warm surface water directly to make electricity. Placing warm seawater in a low-pressure container causes it to boil. In some schemes, the expanding steam drives a low-pressure turbine attached to an electrical generator. The steam, which has left its salt and other contaminants in the low-pressure container, is pure fresh water. It is condensed into a liquid by exposure to cold temperatures from deep-ocean water. This method produces desalinated fresh water, suitable for drinking water or irrigation.<sup>[1]</sup>

In other schemes, the rising steam is used in a gas lift technique of lifting water to significant heights. Depending on the embodiment, such steam lift pump techniques generate power from a hydroelectric turbine either before or after the pump is used.<sup>[1]</sup>

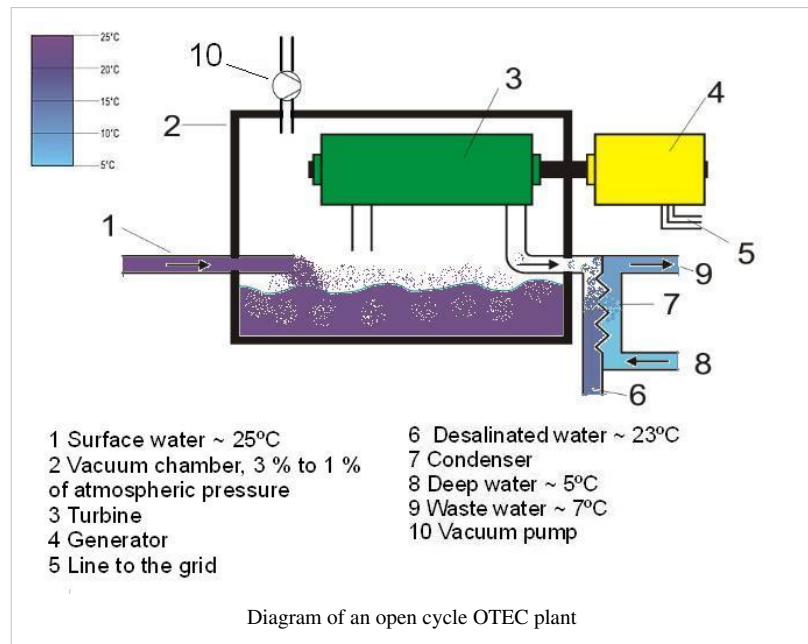
In 1984, the *Solar Energy Research Institute* (now the National Renewable Energy Laboratory) developed a vertical-spout evaporator to convert warm seawater into low-pressure steam for open-cycle plants. Conversion efficiencies were as high as 97% for seawater-to-steam conversion (overall efficiency using a vertical-spout evaporator would still only be a few per cent). In May 1993, an open-cycle OTEC plant at Keahole Point, Hawaii, produced 50,000 watts of electricity during a net power-producing experiment.<sup>[1]</sup> This broke the record of 40 kW set by a Japanese system in 1982.<sup>[1]</sup>

## Hybrid

A hybrid cycle combines the features of the closed- and open-cycle systems. In a hybrid, warm seawater enters a vacuum chamber and is flash-evaporated, similar to the open-cycle evaporation process. The steam vaporizes the ammonia working fluid of a closed-cycle loop on the other side of an ammonia vaporizer. The vaporized fluid then drives a turbine to produce electricity. The steam condenses within the heat exchanger and provides desalinated water. (see heat pipe)<sup>[citation needed]</sup>

## Working fluids

A popular choice of working fluid is ammonia, which has superior transport properties, easy availability, and low cost. Ammonia, however, is toxic and flammable. Fluorinated carbons such as CFCs and HCFCs are not toxic or flammable, but they contribute to ozone layer depletion. Hydrocarbons too are good candidates, but they are highly flammable; in addition, this would create competition for use of them directly as fuels. The power plant size is dependent upon the vapor pressure of the working fluid. With increasing vapor pressure, the size of the turbine and heat exchangers decreases while the wall thickness of the pipe and heat exchangers increase to endure high pressure especially on the evaporator side.





## Land, shelf and floating sites

OTEC has the potential to produce gigawatts of electrical power, and in conjunction with electrolysis, could produce enough hydrogen to completely replace all projected global fossil fuel consumption.<sup>[citation needed]</sup> Reducing costs remains an unsolved challenge, however. OTEC plants require a long, large diameter intake pipe, which is submerged a kilometer or more into the ocean's depths, to bring cold water to the surface.

### Land-based

Land-based and near-shore facilities offer three main advantages over those located in deep water. Plants constructed on or near land do not require sophisticated mooring, lengthy power cables, or the more extensive maintenance associated with open-ocean environments. They can be installed in sheltered areas so that they are relatively safe from storms and heavy seas. Electricity, desalinated water, and cold, nutrient-rich seawater could be transmitted from near-shore facilities via trestle bridges or causeways. In addition, land-based or near-shore sites allow plants to operate with related industries such as mariculture or those that require desalinated water.

Favored locations include those with narrow shelves (volcanic islands), steep (15-20 degrees) offshore slopes, and relatively smooth sea floors. These sites minimize the length of the intake pipe. A land-based plant could be built well inland from the shore, offering more protection from storms, or on the beach, where the pipes would be shorter. In either case, easy access for construction and operation helps lower costs.

Land-based or near-shore sites can also support mariculture. Tanks or lagoons built on shore allow workers to monitor and control miniature marine environments. Mariculture products can be delivered to market via standard transport.

One disadvantage of land-based facilities arises from the turbulent wave action in the surf zone. Unless the OTEC plant's water supply and discharge pipes are buried in protective trenches, they will be subject to extreme stress during storms and prolonged periods of heavy seas. Also, the mixed discharge of cold and warm seawater may need to be carried several hundred meters offshore to reach the proper depth before it is released. This arrangement requires additional expense in construction and maintenance.

OTEC systems can avoid some of the problems and expenses of operating in a surf zone if they are built just offshore in waters ranging from 10 to 30 meters deep (Ocean Thermal Corporation 1984). This type of plant would use shorter (and therefore less costly) intake and discharge pipes, which would avoid the dangers of turbulent surf. The plant itself, however, would require protection from the marine environment, such as breakwaters and erosion-resistant foundations, and the plant output would need to be transmitted to shore.<sup>□</sup>

### Shelf based

To avoid the turbulent surf zone as well as to move closer to the cold-water resource, OTEC plants can be mounted to the continental shelf at depths up to 100 meters (330 ft). A shelf-mounted plant could be towed to the site and affixed to the sea bottom. This type of construction is already used for offshore oil rigs. The complexities of operating an OTEC plant in deeper water may make them more expensive than land-based approaches. Problems include the stress of open-ocean conditions and more difficult product delivery. Addressing strong ocean currents and large waves adds engineering and construction expense. Platforms require extensive pilings to maintain a stable base. Power delivery can require long underwater cables to reach land. For these reasons, shelf-mounted plants are less attractive.<sup>□ [citation needed]</sup>



Left: Pipes used for OTEC.  
Right: Floating OTEC plant constructed in India in 2000

## Floating

Floating OTEC facilities operate off-shore. Although potentially optimal for large systems, floating facilities present several difficulties. The difficulty of mooring plants in very deep water complicates power delivery. Cables attached to floating platforms are more susceptible to damage, especially during storms. Cables at depths greater than 1000 meters are difficult to maintain and repair. Riser cables, which connect the sea bed and the plant, need to be constructed to resist entanglement.<sup>[1]</sup> *[citation needed]*

As with shelf-mounted plants, floating plants need a stable base for continuous operation. Major storms and heavy seas can break the vertically suspended cold-water pipe and interrupt warm water intake as well. To help prevent these problems, pipes can be made of flexible polyethylene attached to the bottom of the platform and gimbaled with joints or collars. Pipes may need to be uncoupled from the plant to prevent storm damage. As an alternative to a warm-water pipe, surface water can be drawn directly into the platform; however, it is necessary to prevent the intake flow from being damaged or interrupted during violent motions caused by heavy seas.<sup>[1]</sup> *[citation needed]*

Connecting a floating plant to power delivery cables requires the plant to remain relatively stationary. Mooring is an acceptable method, but current mooring technology is limited to depths of about 2,000 meters (6,600 ft). Even at shallower depths, the cost of mooring may be prohibitive.<sup>[citation needed]</sup>

## Some proposed projects

OTEC projects under consideration include a small plant for the U.S. Navy base on the British overseas territory island of Diego Garcia in the Indian Ocean. OCEES International, Inc. is working with the U.S. Navy on a design for a proposed 13-MW OTEC plant, to replace the current diesel generators. The OTEC plant would also provide 1.25 million gallons of potable water per day. A private U.S. company has proposed building a 10-MW OTEC plant on Guam. This effort was canceled when the Navy determined that the system was not viable.

## Hawaii

Lockheed Martin's Alternative Energy Development team has partnered with Makai Ocean Engineering<sup>[9]</sup> to complete the final design phase of a 10-MW closed cycle OTEC pilot system which will become operational in Hawaii in the 2012-2013 time frame. This system is being designed to expand to 100-MW commercial systems in the near future. In November, 2010 the U.S. Naval Facilities Engineering Command (NAVFAC) awarded Lockheed Martin a US\$4.4 million contract modification to develop critical system components and designs for the plant, adding to the 2009 \$8.1 million contract and two Department of Energy grants totaling \$1 million in 2008 and March 2010.<sup>[10]</sup> This effort was canceled when the Navy determined that the system was not viable.

## Related activities

OTEC has uses other than power production.

### Air conditioning

The 41 °F (5 °C) cold seawater made available by an OTEC system creates an opportunity to provide large amounts of cooling to operations near the plant. The water can be used in chilled-water coils to provide air-conditioning for buildings. It is estimated that a pipe 1 foot (0.30 m) in diameter can deliver 4,700 gallons per minute of water. Water at 43 °F (6 °C) could provide more than enough air-conditioning for a large building. Operating 8,000 hours per year in lieu of electrical conditioning selling for 5-10¢ per kilowatt-hour, it would save \$200,000-\$400,000 in energy bills annually.<sup>[11]</sup>

The InterContinental Resort and Thalasso-Spa on the island of Bora Bora uses an OTEC system to air-condition its buildings.<sup>[12]</sup> The system passes seawater through a heat exchanger where it cools freshwater in a closed loop system. This freshwater is then pumped to buildings and directly cools the air.

## Chilled-soil agriculture

OTEC technology supports chilled-soil agriculture. When cold seawater flows through underground pipes, it chills the surrounding soil. The temperature difference between roots in the cool soil and leaves in the warm air allows plants that evolved in temperate climates to be grown in the subtropics. Dr. John P. Craven, Dr. Jack Davidson and Richard Bailey patented this process and demonstrated it at a research facility at the Natural Energy Laboratory of Hawaii Authority (NELHA).<sup>[13]</sup> The research facility demonstrated that more than 100 different crops can be grown using this system. Many normally could not survive in Hawaii or at Keahole Point.<sup>[citation needed]</sup>

## Aquaculture

Aquaculture is the best-known byproduct, because it reduces the financial and energy costs of pumping large volumes of water from the deep ocean. Deep ocean water contains high concentrations of essential nutrients that are depleted in surface waters due to biological consumption. This "artificial upwelling" mimics the natural upwellings that are responsible for fertilizing and supporting the world's largest marine ecosystems, and the largest densities of life on the planet.

Cold-water delicacies, such as salmon and lobster, thrive in this nutrient-rich, deep, seawater. Microalgae such as *Spirulina*, a health food supplement, also can be cultivated. Deep-ocean water can be combined with surface water to deliver water at an optimal temperature.

Non-native species such as salmon, lobster, abalone, trout, oysters, and clams can be raised in pools supplied by OTEC-pumped water. This extends the variety of fresh seafood products available for nearby markets. Such low-cost refrigeration can be used to maintain the quality of harvested fish, which deteriorate quickly in warm tropical regions.

## Desalination

Desalinated water can be produced in open- or hybrid-cycle plants using surface condensers to turn evaporated seawater into potable water. System analysis indicates that a 2-megawatt plant could produce about 4,300 cubic metres (150,000 cu ft) of desalinated water each day.<sup>[14]</sup> Another system patented by Richard Bailey creates condensate water by regulating deep ocean water flow through surface condensers correlating with fluctuating dew-point temperatures.<sup>[15]</sup> This condensation system uses no incremental energy and has no moving parts.

## Hydrogen production

Hydrogen can be produced via electrolysis using OTEC electricity. Generated steam with electrolyte compounds added to improve efficiency is a relatively pure medium for hydrogen production. OTEC can be scaled to generate large quantities of hydrogen. The main challenge is cost relative to other energy sources and fuels.

## Mineral extraction

The ocean contains 57 trace elements in salts and other forms and dissolved in solution. In the past, most economic analyses concluded that mining the ocean for trace elements would be unprofitable, in part because of the energy required to pump the water. Mining generally targets minerals that occur in high concentrations, and can be extracted easily, such as magnesium. With OTEC plants supplying water, the only cost is for extraction.<sup>[citation needed]</sup> The Japanese investigated the possibility of extracting uranium and found developments in other technologies (especially materials sciences) were improving the prospects.<sup>[citation needed]</sup>

## Political concerns

Because OTEC facilities are more-or-less stationary surface platforms, their exact location and legal status may be affected by the United Nations Convention on the Law of the Sea treaty (UNCLOS). This treaty grants coastal nations 3-, 12-, and 200-mile (320 km) zones of varying legal authority from land, creating potential conflicts and regulatory barriers. OTEC plants and similar structures would be considered artificial islands under the treaty, giving them no independent legal status. OTEC plants could be perceived as either a threat or potential partner to fisheries or to seabed mining operations controlled by the International Seabed Authority.

## Cost and economics

For OTEC to be viable as a power source, the technology must have tax and subsidy treatment similar to competing energy sources. Because OTEC systems have not yet been widely deployed, cost estimates are uncertain. One study estimates power generation costs as low as US \$0.07 per kilowatt-hour, compared with \$0.05 - \$0.07 for subsidized wind systems.<sup>[16]</sup>

Beneficial factors that should be taken into account include OTEC's lack of waste products and fuel consumption, the area in which it is available,<sup>[citation needed]</sup> (often within 20° of the equator)<sup>[17]</sup> the geopolitical effects of petroleum dependence, compatibility with alternate forms of ocean power such as wave energy, tidal energy and methane hydrates, and supplemental uses for the seawater.<sup>[18]</sup>

## Thermodynamics

A rigorous treatment of OTEC reveals that a 20 °C temperature difference will provide as much energy as a hydroelectric plant with 34 m head for the same volume of water flow. The low temperature difference means that water volumes must be very large to extract useful amounts of heat. A 100MW power plant would be expected to pump on the order of 12 million gallons (44,400 metric tonnes) per minute.<sup>[19]</sup> For comparison, pumps must move a mass of water greater than the weight of the Battleship Bismark, which weighed 41,700 metric tons, every minute. This makes pumping a substantial parasitic drain on energy production in OTEC systems, with one Lockheed design consuming 19.55 MW in pumping costs for every 49.8 MW net electricity generated. For OTEC schemes using heat exchangers, to handle this volume of water the exchangers need to be enormous compared to those used in conventional thermal power generation plants,<sup>[1]</sup> making them one of the most critical components due to their impact on overall efficiency. A 100 MW OTEC power plant would require 200 exchangers each larger than a 20 foot shipping container making them the single most expensive component.<sup>[20]</sup>

## Variation of ocean temperature with depth

The total insolation received by the oceans (covering 70% of the earth's surface, with clearness index of 0.5 and average energy retention of 15%) is:  $5.45 \times 10^{18} \text{ MJ/yr} \times 0.7 \times 0.5 \times 0.15 = 2.87 \times 10^{17} \text{ MJ/yr}$

We can use Lambert's law to quantify the solar energy absorption by water,

$$-\frac{dI(y)}{dy} = \mu I$$

where,  $y$  is the depth of water,  $I$  is intensity and  $\mu$  is the absorption coefficient. Solving the above differential equation,

$$I(y) = I_0 \exp(-\mu y)$$

The absorption coefficient  $\mu$  may range from  $0.05 \text{ m}^{-1}$  for very clear fresh water to  $0.5 \text{ m}^{-1}$  for very salty water.

Since the intensity falls exponentially with depth  $y$ , heat absorption is concentrated at the top layers. Typically in the tropics, surface temperature values are in excess of 25 °C (77 °F), while at 1 kilometer (0.62 mi), the temperature is about 5–10 °C (41–50 °F). The warmer (and hence lighter) waters at the surface means there are no thermal



convection currents. Due to the small temperature gradients, heat transfer by conduction is too low to equalize the temperatures. The ocean is thus both a practically infinite heat source and a practically infinite heat sink. Wikipedia: Please clarify

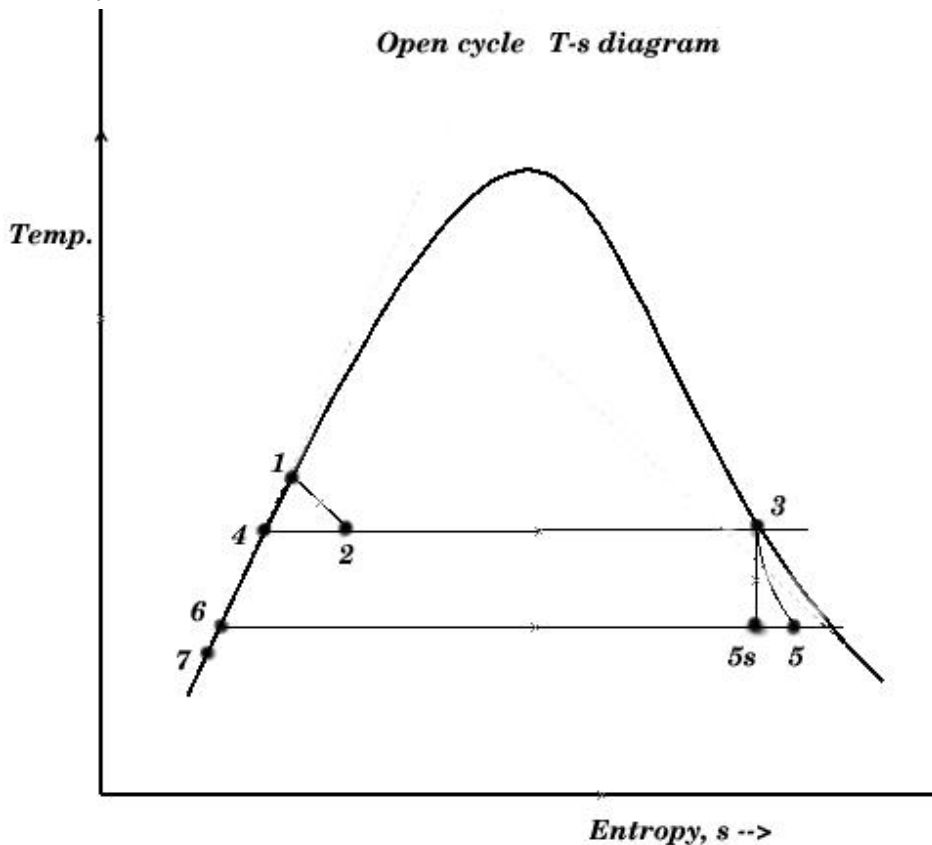
This temperature difference varies with latitude and season, with the maximum in tropical, subtropical and equatorial waters. Hence the tropics are generally the best OTEC locations.

**Open/Claude cycle**

In this scheme, warm surface water at around 27 °C (81 °F) enters an evaporator at pressure slightly below the saturation pressures causing it to vaporize.

$$H_1 = H_f$$

Where  $H_f$  is enthalpy of liquid water at the inlet temperature,  $T_1$ .



This temporarily superheated water undergoes volume boiling as opposed to pool boiling in conventional boilers where the heating surface is in contact. Thus the water partially flashes to steam with two-phase equilibrium prevailing. Suppose that the pressure inside the evaporator is maintained at the saturation pressure,  $T_2$ .

$$H_2 = H_1 = H_f + x_2 H_{fg}$$

Here,  $x_2$  is the fraction of water by mass that vaporizes. The warm water mass flow rate per unit turbine mass flow rate is  $1/x_2$ .

The low pressure in the evaporator is maintained by a vacuum pump that also removes the dissolved non-condensable gases from the evaporator. The evaporator now contains a mixture of water and steam of very low vapor quality (steam content). The steam is separated from the water as saturated vapor. The remaining water is saturated and is discharged to the ocean in the open cycle. The steam is a low pressure/high specific volume working fluid. It expands in a special low pressure turbine.

$$H_3 = H_g$$

Here,  $H_g$  corresponds to  $T_2$ . For an ideal isentropic (reversible adiabatic) turbine,

$$s_{5,s} = s_3 = s_f + x_{5,s} s_{fg}$$

The above equation corresponds to the temperature at the exhaust of the turbine,  $T_5$ .  $x_{5,s}$  is the mass fraction of vapor at state 5.

The enthalpy at  $T_5$  is,

$$H_{5,s} = H_f + x_{5,s} H_{fg}$$

This enthalpy is lower. The adiabatic reversible turbine work =  $H_3 - H_{5,s}$ .

Actual turbine work  $W_T = (H_3 - H_{5,s}) \times \text{polytropic efficiency}$

$$H_5 = H_3 - \text{actual work}$$

The condenser temperature and pressure are lower. Since the turbine exhaust is to be discharged back into the ocean, a direct contact condenser is used to mix the exhaust with cold water, which results in a near-saturated water. That water is now discharged back to the ocean.

$H_6 = H_f$  at  $T_5$ .  $T_7$  is the temperature of the exhaust mixed with cold sea water, as the vapour content now is negligible,

$$H_7 \approx H_f \text{ at } T_7$$

The temperature differences between stages include that between warm surface water and working steam, that between exhaust steam and cooling water, and that between cooling water reaching the condenser and deep water. These represent external irreversibilities that reduce the overall temperature difference.

The cold water flow rate *per* unit turbine mass flow rate,

$$m_c = \frac{\dot{H}_5 - H_6}{H_6 - H_7}$$

Turbine mass flow rate,  $\dot{M}_T = \frac{\text{turbine work required}}{W_T}$

Warm water mass flow rate,  $\dot{M}_w = \dot{M}_T \dot{m}_w$

Cold water mass flow rate  $\dot{M}_c = \dot{M}_T m_c$

### Closed Anderson cycle

Developed starting in the 1960s by J. Hilbert Anderson of Sea Solar Power, Inc. In this cycle,  $Q_H$  is the heat transferred in the evaporator from the warm sea water to the working fluid. The working fluid exits the evaporator as a gas near its dew point.

The high-pressure, high-temperature gas then is expanded in the turbine to yield turbine work,  $W_T$ . The working fluid is slightly superheated at the turbine exit and the turbine typically has an efficiency of 90% based on reversible, adiabatic expansion.

From the turbine exit, the working fluid enters the condenser where it rejects heat,  $-Q_C$ , to the cold sea water. The condensate is then compressed to the highest pressure in the cycle, requiring condensate pump work,  $W_C$ . Thus, the Anderson closed cycle is a Rankine-type cycle similar to the conventional power plant steam cycle except that in the Anderson cycle the working fluid is never superheated more than a few degrees Fahrenheit. Owing to viscous effects, working fluid pressure drops in both the evaporator and the condenser. This pressure drop, which depends on the types of heat exchangers used, must be considered in final design calculations but is ignored here to simplify the analysis. Thus, the parasitic condensate pump work,  $W_C$ , computed here will be lower than if the heat exchanger pressure drop was included. The major additional parasitic energy requirements in the OTEC plant are the cold water pump work,  $W_{CT}$ , and the warm water pump work,  $W_{HT}$ . Denoting all other parasitic energy requirements by  $W_A$ , the net work from the OTEC plant,  $W_{NP}$  is

$$W_{NP} = W_T + W_C + W_{CT} + W_{HT} + W_A$$

The thermodynamic cycle undergone by the working fluid can be analyzed without detailed consideration of the parasitic energy requirements. From the first law of thermodynamics, the energy balance for the working fluid as the system is

$$W_N = Q_H + Q_C$$

where  $W_N = W_T + W_C$  is the net work for the thermodynamic cycle. For the idealized case in which there is no working fluid pressure drop in the heat exchangers,

$$Q_H = \int_H T_H ds$$

and

$$Q_C = \int_C T_C ds$$

so that the net thermodynamic cycle work becomes

$$W_N = \int_H T_H ds + \int_C T_C ds$$

Subcooled liquid enters the evaporator. Due to the heat exchange with warm sea water, evaporation takes place and usually superheated vapor leaves the evaporator. This vapor drives the turbine and the 2-phase mixture enters the condenser. Usually, the subcooled liquid leaves the condenser and finally, this liquid is pumped to the evaporator completing a cycle.

## Environmental impact

Carbon dioxide dissolved in deep cold and high pressure layers is brought up to the surface and released as the water warms. <sup>[citation needed]</sup>

Mixing of deep ocean water with shallower water brings up nutrients and makes them available to shallow water life. This may be an advantage for aquaculture of commercially important species, but may also unbalance the ecological system around the power plant. <sup>[citation needed]</sup>

OTEC plants use very large flows of warm surface seawater and cold deep seawater to generate constant renewable power. The deep seawater is oxygen deficient and generally 20-40 times more nutrient rich (in nitrate and nitrite) than shallow seawater. When these plumes are mixed, they are slightly denser than the ambient seawater.<sup>□</sup> Though no large scale physical environmental testing of OTEC has been done, computer models have been developed to simulate the effect of OTEC plants.

## Hydrodynamic Modeling Work

In 2010, a computer model was developed to simulate the physical oceanographic effects of one or several 100 megawatt OTEC plant(s). The model suggests that OTEC plants can be configured such that the plant can conduct continuous operations, with resulting temperature and nutrient variations that are within naturally occurring levels. Studies to date suggest that by discharging the OTEC flows downwards at a depth below 70 meters, the dilution is adequate and nutrient enrichment is small enough so that 100 megawatt OTEC plants could be operated in a sustainable manner on a continuous basis.<sup>□</sup>

## Biological Modeling Work

The nutrients from an OTEC discharge could potentially cause increased biological activity if they accumulate in large quantities in the photic zone<sup>□</sup>. In 2011 a biological component was added to the hydrodynamic computer model to simulate the biological response to plumes from 100 megawatt OTEC plants. In all cases modeled (discharge at 70 meters depth or more), no unnatural variations occurs in the upper 40 meters of the ocean's surface.<sup>□</sup> The picoplankton response in the 110 - 70 meter depth layer is approximately a 10-25% increase, which is well

within naturally occurring variability. The nanoplankton response is negligible. The enhanced productivity of diatoms (microplankton) is small. The subtle phytoplankton increase of the baseline OTEC plant suggests that higher-order biochemical effects will be very small.<sup>[1]</sup>

## Environmental Impact Studies

A previous Final Environmental Impact Statement (EIS) for the United States' NOAA from 1981 is available<sup>[21]</sup>, but needs to be brought up to current oceanographic and engineering standards. Studies have been done to propose the best environmental baseline monitoring practices, focusing on a set of ten chemical oceanographic parameters relevant to OTEC.<sup>[22]</sup> Most recently, NOAA held an OTEC Workshop in 2010 and 2012 seeking to assess the physical, chemical, and biological impacts and risks, and identify information gaps or needs.<sup>[23] [24]</sup>

## Technical difficulties

### Dissolved gases

The performance of direct contact heat exchangers operating at typical OTEC boundary conditions is important to the Claude cycle. Many early Claude cycle designs used a surface condenser since their performance was well understood. However, direct contact condensers offer significant disadvantages. As cold water rises in the intake pipe, the pressure decreases to the point where gas begins to evolve. If a significant amount of gas comes out of solution, placing a gas trap before the direct contact heat exchangers may be justified. Experiments simulating conditions in the warm water intake pipe indicated about 30% of the dissolved gas evolves in the top 8.5 meters (28 ft) of the tube. The trade-off between pre-deaeration<sup>[25]</sup> of the seawater and expulsion of non-condensable gases from the condenser is dependent on the gas evolution dynamics, deaerator efficiency, head loss, vent compressor efficiency and parasitic power. Experimental results indicate vertical spout condensers perform some 30% better than falling jet types.

### Microbial fouling

Because raw seawater must pass through the heat exchanger, care must be taken to maintain good thermal conductivity. Biofouling layers as thin as 25 to 50 micrometres (0.00098 to 0.0020 in) can degrade heat exchanger performance by as much as 50%.<sup>[1]</sup> A 1977 study in which mock heat exchangers were exposed to seawater for ten weeks concluded that although the level of microbial fouling was low, the thermal conductivity of the system was significantly impaired.<sup>[1]</sup> The apparent discrepancy between the level of fouling and the heat transfer impairment is the result of a thin layer of water trapped by the microbial growth on the surface of the heat exchanger.<sup>[1]</sup>

Another study concluded that fouling degrades performance over time, and determined that although regular brushing was able to remove most of the microbial layer, over time a tougher layer formed that could not be removed through simple brushing.<sup>[1]</sup> The study passed sponge rubber balls through the system. It concluded that although the ball treatment decreased the fouling rate it was not enough to completely halt growth and brushing was occasionally necessary to restore capacity. The microbes regrew more quickly later in the experiment (i.e. brushing became necessary more often) replicating the results of a previous study.<sup>[1]</sup> The increased growth rate after subsequent cleanings appears to result from selection pressure on the microbial colony.<sup>[1]</sup>

Continuous use of 1 hour per day and intermittent periods of free fouling and then chlorination periods (again 1 hour per day) were studied. Chlorination slowed but did not stop microbial growth; however chlorination levels of .1 mg per liter for 1 hour per day may prove effective for long term operation of a plant.<sup>[1]</sup> The study concluded that although microbial fouling was an issue for the warm surface water heat exchanger, the cold water heat exchanger suffered little or no biofouling and only minimal inorganic fouling.<sup>[1]</sup>

Besides water temperature, microbial fouling also depends on nutrient levels, with growth occurring faster in nutrient rich water.<sup>[1]</sup> The fouling rate also depends on the material used to construct the heat exchanger. Aluminium tubing

slows the growth of microbial life, although the oxide layer which forms on the inside of the pipes complicates cleaning and leads to larger efficiency losses.<sup>[1]</sup> In contrast, titanium tubing allows biofouling to occur faster but cleaning is more effective than with aluminium.<sup>[1]</sup>

## Sealing

The evaporator, turbine, and condenser operate in partial vacuum ranging from 3% to 1% of atmospheric pressure. The system must be carefully sealed to prevent in-leakage of atmospheric air that can degrade or shut down operation. In closed-cycle OTEC, the specific volume of low-pressure steam is very large compared to that of the pressurized working fluid. Components must have large flow areas to ensure steam velocities do not attain excessively high values.

## Parasitic power consumption by exhaust compressor

An approach for reducing the exhaust compressor parasitic power loss is as follows. After most of the steam has been condensed by spout condensers, the non-condensable gas steam mixture is passed through a counter current region which increases the gas-steam reaction by a factor of five. The result is an 80% reduction in the exhaust pumping power requirements.

## Cold air/warm water conversion

In winter in coastal Arctic locations, seawater can be 40 °C (72 °F) warmer than ambient air temperature. Closed-cycle systems could exploit the air-water temperature difference. Eliminating seawater extraction pipes might make a system based on this concept less expensive than OTEC. This technology is due to H. Barjot, who suggested butane as cryogen, because of its boiling point of -0.5 °C (31.1 °F) and its non-solubility in water.<sup>[26]</sup> Assuming a level of efficiency of realistic 4%, calculations show that the amount of energy generated with one cubic meter water at a temperature of 2 °C (36 °F) in a place with an air temperature of -22 °C (-8 °F) equals the amount of energy generated by letting this cubic meter water run through a hydroelectric plant of 4000 feet (1,200 m) height.<sup>[27]</sup>

Barjot Polar Power Plants could be located on islands in the polar region or designed as swimming barges or platforms attached to the ice cap. The weather station Myggbuka at Greenlands east coast for example, which is only 2,100 km away from Glasgow, detects monthly mean temperatures below -15 °C (5 °F) during 6 winter months in the year.<sup>[28]</sup>

## Footnotes

[2] "Power from the Sea" *Popular Mechanics*, December 1930, pp 881-882 ([http://books.google.com/books?id=qOIDAAAAMBAJ&pg=PA881&dq=Popular+Science+1930+plane+\"Popular+Mechanics\"&hl=en&ei=\\_7BITsWeBYTWgQf9mLiLCg&sa=X&oi=book\\_result&ct=result&resnum=10&sqi=2&ved=0CE8Q6AEwCQ#v=onepage&q&f=true](http://books.google.com/books?id=qOIDAAAAMBAJ&pg=PA881&dq=Popular+Science+1930+plane+\)) detail article and photos of Cuban power plant

[11] U.S. Department of Energy, 1989

[14] Block and Lalenzuela 1985

[16] ([http://www.pichtr.org/Luis\\_Vega\\_OTEC\\_Summary.pdf](http://www.pichtr.org/Luis_Vega_OTEC_Summary.pdf))

[25] deaeration (<http://m-w.com/cgi-bin/dictionary?va=deaerate>)

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## Sources

- *Renewable Energy From The Ocean - A Guide To OTEC*, William H. Avery, Chih Wu, Oxford University Press, 1994. Covers the OTEC work done at the Johns Hopkins Applied Physics Laboratory from 1970–1985 in conjunction with the Department of Energy and other firms.

## External links

- OTEC News - OTEC News website (<http://www.otecnews.org/>)
- Educational material about OTEC by the NOAA Ocean Exploration program (<http://oceanexplorer.noaa.gov/edu/learning/player/lesson11/1111a1.html>)
- Ocean Energy Council: How does OTEC work? (<http://www.oceanenergycouncil.com/index.php/Ocean-Thermal-OTEC/OTEC.html>)
- nrel.gov - what is OTEC? (<http://www.nrel.gov/otec/what.html>)
- US Department of Energy, Information Resources (<http://www.eere.energy.gov/consumerinfo/factsheets/nb1.html>)
- Wired Magazine's interview with John Piña Craven on the future of OTEC (<http://www.wired.com/wired/archive/13.06/craven.html>)
- 2007 edition of the Survey of Energy Resources produced by the World Energy Council ([http://www.worldenergy.org/publications/survey\\_of\\_energy\\_resources\\_2007/default.asp](http://www.worldenergy.org/publications/survey_of_energy_resources_2007/default.asp))
- The Green Ocean Project - OTEC Library (<http://library.greenocean.org/oteclibrary/>)
- Plumbing the oceans could bring limitless clean energy (<http://www.newscientist.com/article/mg20026836.000-plumbing-the-oceans-could-bring-limitless-clean-energy.html>)
- ([http://www.engineeringtoolbox.com/steel-pipes-flow-capacities-d\\_640.html](http://www.engineeringtoolbox.com/steel-pipes-flow-capacities-d_640.html)) Maximum water flow capacity of steel pipes - dimensions ranging 2 - 24 inches

The Engineering Toolbox. for other types of ocean energy go to ((tidal energy))



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